Summarizing Clinical Notes using LLMs for ICU Bounceback and Length-of-Stay Prediction

Akash Choudhuri *Dept of Computer Science, University of Iowa.* akash-choudhuri@uiowa.edu

Philip Polgreen *Dept of Internal Medicine, University of Iowa.* philip-polgreen@uiowa.edu

Alberto Segre *Dept of Computer Science, University of Iowa.* alberto-segre@uiowa.edu

Bijaya Adhikari *Dept of Computer Science, University of Iowa.* bijaya-adhikari@uiowa.edu

Abstract—Recent advances in the Large Language Models (LLMs) provide a promising avenue for retrieving relevant information from clinical notes for accurate risk estimation of adverse patient outcomes. In this empirical study, we quantify the gain in predictive performance obtained by prompting LLMs to study the clinical notes and summarize potential risks for downstream tasks. Specifically, we prompt LLMs to generate a summary of progress notes and state potential complications that may arise. We then learn representations of the generated notes in sequential order and estimate the risks of patients in the ICU getting readmitted in ICU after discharge (ICU bouncebacks) and predict the overall length of stay in the ICU. Our analysis in the real-world MIMIC III dataset shows performance gains of 7.17% in terms of AUC-ROC and 14.16% in terms of AUPRC for the ICU bounceback task and 2.84% in terms of F-1 score and 7.12% in terms of AUPRC for the ICU LOS Prediction task. This demonstrates that the LLM-infused models outperform the approaches that only directly rely on clinical notes and other EHR data.

Index Terms—Electronic Health Records, Health Informatics, Large Language Model.

I. INTRODUCTION

Estimating the risk of an inpatient's condition worsening is crucial in healthcare facilities, as the identification of highrisk patients aids in strategic hospital decision-making [\[1\]](#page-6-0), and the application of proactive preventive measures enables early intervention. The fine-grained information on patients' trajectories embedded within Electronic Healthcare Records (EHRs) makes patient risk estimation feasible. Recent advances in machine learning have brought significant strides in EHR analytics; specific examples include extraction of patient risk factors [\[2\]](#page-6-1)–[\[4\]](#page-6-2), leveraging the underlying data storage structures of EHRs for representation learning [\[5\]](#page-6-3)–[\[8\]](#page-6-4), and the inference of interactions between healthcare entities [\[9\]](#page-6-5), [\[10\]](#page-6-6). This line of research has produced scalable and highly accurate frameworks for patient risk estimation in healthcare facilities [\[11\]](#page-6-7).

Despite advances in machine learning, most prior works in this space fail to effectively capture the rich information stored in unstructured free-text clinical notes. Clinical notes contain subtle spectra of individual patient risk factors that reflect the direct perspective of physicians and healthcare workers and are not necessarily captured by tabular records. There has been some recent interest [\[5\]](#page-6-3), [\[10\]](#page-6-6) in mining clinical notes along with other data sources for downstream predictive tasks. However, these approaches learn representations only from the text present in the clinical notes and fail to capture the knowledge that exists outside clinical nodes, for e.g. those in PubMed [\[12\]](#page-6-8) and public forums like reddit [\[13\]](#page-6-9). The absence of this information poses a detrimental effect on effective knowledge mining. Although additional guidance can be externally provided via knowledge graphs (KGs) [\[14\]](#page-6-10), [\[15\]](#page-6-11), such a procedure requires caution in aligning the concepts to their corresponding meaning in the given EHR data as concepts and their meanings evolve over time [\[16\]](#page-6-12).

Recent advances in Large Language Models (LLMs) in the domain of healthcare analytics [\[17\]](#page-6-13)–[\[19\]](#page-7-0) provide a promising way to resolve these issues, as they contain billions of parameters and have been pre-trained on massive corpora including text data from PubMed and public forums, thus inherently capturing a significant amount of external knowledge. Recent works like [\[20\]](#page-7-1), [\[21\]](#page-7-2) use LLMs on EHRs, but only work on hospital codes and fail to fully utilize the knowledge of LLMs and clinical notes simultaneously. However, LLMs enable retrieving the most meaningful information from clinical notes. To address this gap, our study empirically quantifies the degree of enhancement in the information obtained from clinical notes with LLMs to improve patient risk estimation. We hypothesize that the information obtained from LLMs fused with clinical notes provides more information than the clinical notes themselves, and we empirically show that the text generated by LLMs provides more evident risk factors that can aid in decision-making and allocation of resources in healthcare facilities. The contributions of our study are as follows:

- We quantitatively evaluate the integration of LLMs to clinical notes to enhance the information provided by clinical notes by providing potential medical complications that may occur in free text.
- We propose an end-to-end framework that integrates both tabular features and the sequential progression of risk in the form of textual data generated by LLMs for accurate patient risk estimation.
- We perform experiments on real-world and open-source EHR dataset MIMIC-III on two applications: ICU

Bounceback Prediction and ICU Length of Stay Prediction tasks.

II. METHOD

In this section, we will provide an overview of our methodology. The detailed overview of our overall framework is shown in Figure [1.](#page-2-0) Our methodology mainly consists of four steps, namely data extraction, large language model information extraction, temporal embedding of the generated summaries, and final prediction. We will first formulate the problem and then describe each component in detail.

A. Problem Formulation

We are given a hospital operations database with events derived from EHRs [\[9\]](#page-6-5), [\[10\]](#page-6-6), [\[22\]](#page-7-3), [\[23\]](#page-7-4) and Admission Discharge Transfer (ADT) logs [\[9\]](#page-6-5), [\[10\]](#page-6-6), [\[24\]](#page-7-5), [\[25\]](#page-7-6) from an inpatient healthcare facility. The data contains time-stamped information about patient movement throughout the hospital as well as time-stamped records of procedures, laboratory tests, and prescribed medications. In addition to the items mentioned earlier, the data also contains time-stamped records of admission to critical-care units as well as unstructured clinical notes. This data can be used to extract information about each patient visit. The set of patient visits is denoted by V. Similarly, the corresponding patient activity data extracted from EHR and ADT databases can be denoted by \mathcal{X}_i , where $i \in V$. Note that \mathcal{X}_i also contains clinical note data in addition to the other tabular data.

In addition to patient activity data, we are also given corresponding task labels y_i corresponding to each visit $i \in \mathcal{V}$. Each task label indicates the eventual outcome that occurred after the patient's visit. Examples include binary mortality labels, where positive labels could indicate the patient's death after the current visit, and negative labels for otherwise. We can now formally define our problem.

Given: Patient visit activity data $\{\mathcal{X}_i\}_{i\in\mathcal{V}}$ for a set of patient visits V and corresponding labels $\{y_i\}_{i\in\mathcal{V}}$ **Infer:** A mapping function $m(.)$ which maps each visit data \mathcal{X}_i to corresponding label y_i , where $i \in \mathcal{V}$. **Such that:** a loss function $\sum_{i \in \mathcal{V}} \mathbb{L}(\mathcal{X}_i, y_i)$ is minimized.

In the problem above, $\mathbb L$ is a standard classification loss function such as the cross-entropy loss. We solve this problem as a supervised classification problem, where each sample corresponds to a patient visit.

B. Data Extraction

The data extraction module aims to leverage the relational structure of EHR data to extract relevant information required as inputs for the latter components of the framework. This step is used to extract both the visit-level as well as the unstructured clinical progress notes in the chronological order of entry into the system.

To extract the visit-level information, the database is queried to obtain the visit records and associated information relating to the corresponding visit (medications prescribed, procedures performed, possible diagnoses, etc.). This associated information will then be used to compute different comorbidity scores which are used as risk factors for patient health risk. On the other hand, demographic information like age, gender, race, etc is also extracted. This creates the tabular visit-level features d^i for every visit $i \in \mathcal{V}$ from \mathcal{X}_i .

For unstructured clinical notes present in \mathcal{X}_i , we make sure to exclude discharge summaries from our data as they do not provide detailed information about the progress of the patient's health status. Moreover, some discharge summaries could also mention the overall length of stay or the chances of readmission (our applications, which are described in Section IV.C.) and could thus lead to information leakage in our predictive task. So, for every patient visit $i \in \mathcal{V}$, spanning from timestamp T_0 to T_T we chronologically extract the progress notes denoted by $\{n_t^i\}_{t=0}^{T_T-T_0}$ that dynamically document each patient's health status. The exact details of extracting the progress notes for our experiments are given in Section IV.A.

C. Large Language Model Information Extraction

Generative language models (GLMs) are advanced natural language processing models capable of producing text that is coherent and contextually relevant. Through extensive pretraining on large amounts of text data and fine-tuning based on human instructions, they can generate text outputs that closely resemble human-written content. LLMs model the probability of a sentence (that is, a sequence of word tokens) $s = (q_1, q_2, \dots, q_n)$ as $p(s) = \prod_{i=1}^n p(q_i | q_{\leq i})$, where q_i denotes the *i*-th token of the sentence s and $q_{\leq i}$ denotes the partial word token sequence before the i -th step. Moreover, due to their training in wide corpora that encompasses multiple sources of information, LLMs have recently shown increased reasoning abilities in the medical domain [\[26\]](#page-7-7)–[\[28\]](#page-7-8) and have been deployed in various applications in conjunction with traditional methods [\[29\]](#page-7-9), [\[30\]](#page-7-10). LLMs have exhibited exceptional performance in natural language understanding tasks, including named entity recognition (NER).

The superiority of LLMs to cater to a wide variety of tasks motivated us to explore the reasoning capabilities of LLMs to summarize clinical notes by identifying the risk factors from free-text clinical progress notes and also identify potential complications that can arise based on the information given in the note. However, to allow the LLM to have reasonable background context to perform the given task effectively, we design a prompt using an appropriate engineered prompt designed with the help of FlowGPT [\[31\]](#page-7-11). The prompt structure with its component is given in Figure [2.](#page-2-1) This given prompt followed by each progress note n_t is provided as inputs for the LLM and it summarizes each clinical note and also states the list of potential complications. An example of the clinical note and its corresponding output is shown in Figure [3.](#page-3-0) We did not use the LLM to directly predict the outcome due to the known issue of low accuracy in the point predictions of LLMs [\[32\]](#page-7-12). However, our approach leverages the step-by-step reasoning power of LLMs and the chronological aggregation of the LLM summaries reduces the overdependence on just

Figure 1: Proposed framework (best viewed in color). The steps denoted by red arrows are performed separately than the steps denoted by black arrows. Data Extraction constructs visit-level data and progress notes for each individual visit from the Hospital Operations Database. This data is then used to construct the visit-level features. The progress notes are sequentially inputted to the frozen LLM to generate summaries. Frozen Clinical Longformer generates embeddings of the corresponding summaries and these embeddings are sequentially passed through the GRU to generate the overall text embedding for the visit. This embedding is concatenated with the visit-level features and passed through the FFN to get the predictions.

Prompt Template

Context: You are a Medical Specialist capable of analyzing complex medical cases. To do that, you will carefully review the physician's daily progress note for a patient in the ICU detailing the patient's health progress in the ICU. Engineering: If a normal human medical professional has level 10

knowledge, you will have level 3000 of knowledge in this role. As it's crucial for the patient's well-being, please ensure accurate assessments because if you don't, the patient's condition could worsen. Take pride in your work and give it your best.

Task: The task involves analyzing the progress note documenting the patient's health progress in the ICU. Based on this information, you need to summarize the information in the note and determine the potential medical complications and conditions that can arise.

Noise Prevention: Do not tell your limitations. Do not echo my prompt. Please do not remind me what I asked you for. Do not apologize. Do not self-reference. Get to the point precisely and accurately.

Figure 2: Prompt format (best viewed in color). The prompt first sets the context for the LLM to adhere to. This is followed by engineering techniques to improve the predictive power of the LLM followed by the description of the task. The next part of the prompt prevents hallucinations/noisy outputs during the generation process of the model.

one output of the LLM, which can mitigate hallucination and other known issues of LLMs. Note that the parameters of the LLM are frozen and we do not perform any additional finetuning steps as we wanted to leverage the vast overall domain knowledge of LLMs and did not want to direct the parameters towards the task.

D. Temporal Embedding of the Generated Summaries

After the natural language summaries are generated by the LLM, we perform the following pre-processing steps:

• Remove all special tokens like \ln , \ln and \ln .

- Remove all text and patterns that start with '[**' and ends with '**]'.
- Remove all occurrences of datetime in YYYY-MM-DD, DD-MM-YYYY, MM-DD-YYYY, etc.
- Remove all numbers, consecutive spaces, stopwords, and special characters.
- Convert all text to lowercase.

We then utilize the medical domain language model, Clinical-Longformer [\[33\]](#page-7-13) to obtain text embeddings from the generated summary texts. Pretrained on MIMIC-III clinical notes, Clinical-Longformer is a medical-domain-enriched language model designed to handle long clinical texts by extending the maximum input sequence length from 512 (for BERTlike LMs) to 4096 tokens. Note that the model parameters are frozen here, as well as the LM parameters are already aligned with the clinical note corpora. This provides us with the embeddings of the LLM summaries denoted by $\{e_t^i\}_{t=0}^{T_T - T_0}$. Thus,

$$
e_t^i = f(\text{LLM}(n_t^i)), i \in \mathcal{V}, t \in [T_0, T_T]
$$
 (1)

Here f(.) denotes the frozen Clinical-Longformer model. To model the temporal characteristic of the LLM summaries for every visit and to obtain a latent embedding encompassing the overall representation of the summaries generated from the progress notes, we pass the embeddings $\{e_t^i\}_{t=0}^{T_T-T_0}$ defined earlier through a GRU [\[34\]](#page-7-14) given by:

$$
h^{i} = \text{GRU}(\{e_t^{i}\}_{t=0}^{T_T - T_0}), i \in \mathcal{V}
$$
\n⁽²⁾

E. Final Prediction

For each visit $i \in V$, the latent summary embedding h^i is now concatenated with the tabular visit-level features d^i and the resultant embedding is then passed through a Feed-Forward

Input Note: Chief Complaint: Shortness of breath. Fever. I saw and examined the patient, and was physically present with the ICU Resident for key portions of the services provided. I agree with his/her note above, including assessment and plan.24 Hour Events: Admitted, didnot required more Bipap overnight. Stable respiratory status on 6L NC and weaned to 3L (baseline O2 requirement)....Physical ExaminationGeneral Appearance: Well nourished, No acute distressEyes / Conjunctiva: No(t) PERRL. Head, Ears, Nose, Throat: Normocephalic Cardiovascular: (S1: Normal), (S2: Normal)Peripheral Vascular: (Right radial pulse: Not assessed), (Left radial pulse: Not assessed), (Right DP pulse: Not assessed), (Left DP pulse: Not assessed)Respiratory / Chest: (Breath Sounds: Crackles : bilateral symmetric)Abdominal: Soft, Non-tender. Extremities: Right lower extremity edema: Absent, Left lower extremityedema: Absent, Skin: Warm Neurologic: Attentive, Follows simple commands, Responds to: Verbal stimuli, Movement: Purposeful, Tone: Normal

Assessment and PlanH/O FEVER: High grade fever in the ER. follow up blood cultures, also follow up sputum and urine culture. Cover broadly with levo/vanco..H/O PNEUMONIA, OTHER;continue antibiotics, also apperas volume overloaded, HD today with fluid removal.Sarcoid and pulmonary HTN: on prednisone, bactrim, Oxygen supplementationRenal failure: on HD TTS schedule, HD today.DVT: Boots(on no anticoagulation due to severe prior GI bleeds.)Stress ulcer: PPICode status: Full codeDisposition:Transfer to floor

LLM Generation: The patient, a short-of-breath individual with a fever, has been admitted to the ICU. Overnight, they remained stable with a weaned oxygen requirement from 6L to 3L. They have a history of allergies, medications, and medical conditions, including thrombocytopenia, sarcoidosis, pulmonary hypertension, and renal failure. Laboratory results show elevated white blood cell count, hemoglobin, and creatinine levels, as well as low platelet count. The patient's vital signs are stable, with a temperature of 36.6°C, heart rate of 98 bpm, and blood pressure of 110/77 mmHg. They are receiving antibiotics, oxygen supplementation, and dialysis. **Potential Medical Complications:**

1. Sepsis: The patient's high-grade fever and elevated white blood cell count suggest the possibility of sepsis, which could lead to organ dysfunction and failure. 2. Pneumonia: The patient's respiratory symptoms and imaging results indicate pneumonia, which could worsen their respiratory status and increase the risk of complications. 3. Renal Failure: The patient's pre-existing renal failure could worsen with continued fluid overload, leading to electrolyte imbalances and acidosis. 4. Thrombocytopenia: The patient's low platelet count increases the risk of bleeding complications, particularly in the setting of invasive procedures or anticoagulation therapy.5. Pulmonary Hypertension: The patient's underlying pulmonary hypertension could worsen with respiratory failure, leading to increased risk of cardiac complications.6. Gastrointestinal Bleeding: The patient's history of severe prior GI bleeds increases the risk of bleeding complications, particularly in the setting of anticoagulation therapy or invasive procedures.7. Infection: The patient's broad-spectrum antibiotic coverage and positive blood cultures suggest the possibility of infection, which could lead to antibiotic resistance and treatment failures.

Figure 3: LLM Summary (best viewed in color). Note the input clinical note given on top contains unstructured information. However, the corresponding LLM generation summarizes the unstructured information. Additionally, the LLM also predicts potential medical complications for the patient based on the above clinical note (sepsis, pneumonia, renal failure, thrombocytopenia, etc.), which can aid in assessing the risk posed by the patient to aid downstream predictive tasks. Note, the LLM used here is LLAMA3.

Neural Network to obtain the prediction. Mathematically the operations are given as follows:

$$
z^i = \text{concat}(h^i, d^i) \tag{3}
$$

$$
\hat{y}^i = g(z^i) \tag{4}
$$

Where:

- z^i is the concatenated embedding.
- \hat{y}^i is the prediction for visit *i*.
- $g(\cdot)$ denotes the Feed-Forward Neural Network.

We then minimize \mathcal{L}_{pred} , the cross-entropy loss function that computes the difference between \hat{y}^i and y^i and back-propagate the parameters of our overall framework. For binary classification problems, \mathcal{L}_{pred} is given as follows:

$$
\mathcal{L}_{\text{pred}} = -\frac{1}{N} \sum_{i=1}^{N} \left[y^{i} \log(\hat{y}^{i}) + (1 - y^{i}) \log(1 - \hat{y}^{i}) \right] \tag{5}
$$

For multi-class classification problems, \mathcal{L}_{pred} is given as follows:

$$
\mathcal{L}_{\text{pred}} = -\frac{1}{N} \sum_{i=1}^{N} \sum_{c=1}^{C} y^{i,c} \log(\hat{y}^{i,c})
$$
(6)

In these equations:

- N is the number of samples.
- \bullet *C* is the number of classes.
- y^i is the true label for sample *i*.
- \hat{y}^i is the predicted probability for the true class of sample i.
- $y^{i,c}$ is the binary indicator (0 or 1) if class label c is the correct classification for sample i.
- $\hat{y}^{i,c}$ is the predicted probability for class c for sample i.

During the joint training, the GRU(.) and the FFN $q(.)$'s parameters are updated via backpropagation. The model parameters of the other components like the LLM and f(.) are frozen. The joint training continues until convergence of the loss and the learned model parameters are used to evaluate the model's performance on the test data.

III. EXPERIMENTS

A. Dataset

We used the popularly used open-source MIMIC-III [\[35\]](#page-7-15) EHR dataset for our study. This is de-identified healthcare operations data who were admitted to the critical care units of the Beth Israel Deaconess Medical Center between 2001 and 2012. The dataset contains data from heterogeneous sources, including demographic information, International Classification of Diseases codes (ICD-9), hourly vital signs, laboratory tests,

microbiological culture results, medication administrations, and survival statistics. For our study, we only used information about the patients who were admitted to the Intensive Care Units (ICU) and stayed there for more than 2 days for each admission to the ICU.

Similar to prior literature [\[36\]](#page-7-16), [\[37\]](#page-7-17), we extracted demographic and clinical features encapsulating each patient visit in the ICU. The demographic features extracted were age and gender, and the clinical features were Body Mass Index (BMI), Glasgow Coma Score (GCS), maximum White Blood Cell (WBC) count, maximum blood glucose value, etc.

In addition to all the tabular data, additional information is available in unstructured and free-form clinical notes. In the MIMIC-III dataset, 2,083,180 clinical notes are broadly divided into 15 categories. Although the MIMIC-IV dataset also exists at the moment [\[38\]](#page-7-18), it only contains radiology notes and discharge summaries. Thus, we do not use the MIMIC-IV dataset due to the lack of fine-grained categorization of clinical notes to encapsulate patient health progress over time.

To leverage information from the clinical domain provided by physicians and monitor the sequential progress of patient health, we only consider those clinical notes under the category 'Physician' and the subcategories 'Physician Resident Progress Note' and 'Physician Attending Progress Note'. In the dataset, 53,321 and 17,771 clinical notes were under the sub-categories 'Physician Resident Progress Note' and 'Physician Attending Progress Note' respectively.

B. Models

To evaluate the benefit of utilizing information gathered from LLMs, our experimental protocol involved the evaluation of the performance of models BASE, NOTES, LLAMA3 [\[39\]](#page-7-19), MedLLAMA [\[40\]](#page-7-20), LLAMA3-Meerkat [\[41\]](#page-7-21). More details are presented in the Appendix.

C. Applications and Evaluation Metrics

We quantitatively evaluate the performance of the models on 2 applications described below:

1) Application 1: ICU Bounceback Prediction: The first application asks to utilize information from the patient's current ICU visit to predict whether a patient is at risk of being transferred back to the ICU after discharge. The ICU provides critical care for patients in severe conditions, and a patient is only transferred there when constant monitoring and intensive care are necessary. Identifying the high risk of transfer back to the ICU early can help healthcare professionals provide better patient care. Additionally, since ICU beds are limited, early prediction of potential ICU transfers can assist hospital officials in resource allocation. Bouncebacks to the ICU indicate rapid and sudden deterioration of a patient's health, necessitating a higher priority for hospital resources.

Similar to the MICU transfer prediction task in prior works [\[9\]](#page-6-5), [\[10\]](#page-6-6), we frame the prediction of ICU bouncebacks as a binary classification problem. The classifier's input is the embedding produced by the predictive model at the end of the current visit, and the output is a label indicating whether

the patient will be readmitted to the ICU during the current hospital stay. Positive instances (+) are built using actual ICU bounceback events, while negative instances (-) are identified by finding patients who have not been readmitted to the ICU during the current hospital visit. It should be noted that ICU bouncebacks are rare events, as indicated by the label distribution shown in Table [I.](#page-4-0)

Table I: Label Counts for ICU Bounceback Prediction Task

2) Application 2: ICU Length of Stay Prediction: The second application we present is the prediction of the total length of stay (LOS) for each patient visit in the ICU. Although this problem can be posed as a regression problem [\[36\]](#page-7-16), our study presents it as a multi-class classification problem similar to [\[37\]](#page-7-17), with different classes representing different ICU stay categories. LOS between 2-4 days was categorized as 'low', between 4-7 was classified as 'medium' and 7 days and above was categorized as 'high'. The details of the label distribution are shown in Table [II.](#page-4-1)

Table II: Label Counts for ICU LOS Prediction Task

Class	Count	
Low $(2-4 \text{ days})$	1437	
Medium (4-7 days)	674	
High $(7 + days)$	729	
Total	2840	

3) Evaluation Metrics: Due to the label imbalance of the bounceback prediction task with a label imbalance ratio of about 1:20, accuracy is not a suitable metric to evaluate the performance of the models in this study. Thus, we adopt the Area under the Receiver Operating Curve (AUC-ROC) score and the Area under the Precision-Recall Curve (AUPRC) as the evaluation metrics of this task, similar to prior works working with an imbalanced label ratio [\[9\]](#page-6-5), [\[10\]](#page-6-6). On the other hand, for the LOS prediction task, we use AUPRC and macro F-1 score as the evaluation metrics due to the label imbalance.

D. Results

The results of our experiments are presented in Table $III¹$ $III¹$ $III¹$.

1) Application1: ICU Bounceback Prediction: The high label imbalance of the problem (mentioned before) makes this task extremely challenging. This is quite evident in the AUPRC metric which is significantly low for all the models.

In this experiment, we observed several important findings. Firstly, we noticed a significant improvement in both the AUC-ROC (5.74% on average) and the AUPRC (8.80% on average) scores when using clinical notes (NOTES) compared to the tabular feature data (BASE). This confirms our initial hypothesis that clinical notes provide valuable additional information

¹The LLM outputs are present in [https://github.com/Soothysay/](https://github.com/Soothysay/LLM-Outputs) [LLM-Outputs.](https://github.com/Soothysay/LLM-Outputs)

Model	ICU Bounceback Prediction		ICU Length of Stay Prediction	
	AUC-ROC	AUPRC	Macro-F1	AUPRC
BASE	$62.49 + 0.16$	$9.54 + 0.14$	$34.38 + 0.58$	$42.11 + 0.23$
NOTES	$66.08 + 0.73$	$10.38 + 0.42$	$41.08 + 0.46$	$44.93 + 0.73$
LLAMA3 [39]	$69.79 + 0.51$	$11.74 + 0.15$	$40.94 + 0.59$	48.13 ± 0.64
MedLLAMA [40]	$66.17 + 0.05$	$10.86 + 0.83$	$41.76 + 0.52$	$47.86 + 0.03$
LLAMA3-Meerkat [41]	$\overline{70.82} \pm 0.76$	$11.85 + 0.39$	$42.25 + 0.56$	$46.75 + 0.26$

Table III: Performance of Models on MIMIC-III Dataset averaged across 3 independent runs

for making better predictions. Secondly, we found that the use of LLAMA3-generated summaries leads to better performance compared to NOTES in terms of both AUPRC and AUC-ROC. Thirdly, we observed that LLAMA3-Meerkat, a fine-tuned version of LLAMA3, achieves an average gain of about 1.4% in AUC-ROC over LLAMA3. This clearly demonstrates the superiority in the performance of fine-tuned models over their original versions. However, fine-tuning may not always be beneficial, as indicated by the comparison between LLAMA3 and MedLLAMA. Here, there is a decrease in both the AUC-ROC and AUPRC scores when moving from the original to the fine-tuned model. Nonetheless, MedLLAMA still outperforms NOTES in both performance metrics, thereby validating our hypothesis that language model models (LLMs) provide an additional source of valuable information. These gains in performance are impressive since the resources are scarce in ICUs, and hence this could have helped HCPs to better utilize the limited resources and can lead to saving patients' lives as patients who have positive labels are critically ill and their health condition can deteriorate any time.

2) Application 2: ICU Length of Stay Prediction: For this predictive task, we first notice a similar trend to the results of Application 1 where NOTES significantly outperforms BASE in both Macro F-1 (19.48 % gain on average) and AUPRC (6.69 % gain on average). However, we observe mixed results when we compare NOTES to the other LLM models. We notice that LLAMA3-Meerkat is the best-performing LLM in terms of Macro-F-1 score, outperforming NOTES as well. However, LLAMA3 and MedLLAMA cannot outperform NOTES in terms of Macro F-1 score. On the other hand, evaluating the models on the AUPRC metric shows that LLAMA3 has 7.12%, MedLLama has 6.52%, and LLAMA3-Meerkat has a 4.05% performance gain over NOTES. However, in terms of the AUPRC metric, LLAMA3 is the best model. Also note that although LLAMA was not explicitly pre-trained to cover medical text, it performs competitively compared to the finetuned variants for both the tasks.

E. Discussion: Analyzing Similarities in LLM Generations

Table IV: Jaccard Similarity Index for Medical Terms

LLM	Jaccard Score
Notes:LLAMA3	0.1446
Notes:MedLLAMA	0.1226
Notes:LLAMA3-Meerkat	0.1543
LLAMA3:MedLLAMA	0.1977
LLAMA3:LLAMA3-Meerkat	0.2001
MedLLAMA:LLAMA3-Meerkat	0.2497

Due to the large volume of textual data present in the form of clinical notes and their corresponding LLM-generated summaries, it was impossible to individually analyze them and validate their correctness. However, we conducted a case study to compare the diversity of medical topics in the texts. As LLMs generate future complications in addition to the summary of the progress notes, it would not be fair to compare the medical terms from individual clinical notes. So, we concatenate all the progress notes appearing across each ICU visit and then compare the medical terms.

We used the biomedical Named-Entity Recognition (NER) pipeline from ScispaCy [\[42\]](#page-7-22) to extract relevant medical terms from the texts. The medical terms for each visit were compared by computing the Jaccard Score, which is given as follows:

$$
J(A,B) = \frac{|A \cap B|}{|A \cup B|} \tag{7}
$$

Here A and B are two different summaries generated from the same ICU visit. The results of our experiment are given in Table [IV.](#page-5-1)

Results show that the LLM summaries had significant differences in the medical terms generated. However, LLAMA-3-Meerkat had the highest Jaccard score when compared to notes. We hypothesize that this leads to the superior performance of LLAMA-3-Meerkat in the downstream predictive tasks in Table [III.](#page-5-0) On the other hand, comparing the medical terms in the LLM generated summaries shows higher Jaccard scores, among which MedLLAMA and LLAMA-3-Meerkat having the highest similarity in medical terms while the Jaccard scores when compared to LLAMA3 being very similar. This is because both MedLLAMA and LLAMA-3-Meerkat are fine-tuned versions of LLAMA-3 for medical texts.

IV. RELATED WORK

Healthcare Analytics: Prior works for Healthcare Analytics use patient mobility logs to solve inference problems, such as outbreak detection [\[43\]](#page-7-23), missing infection [\[44\]](#page-7-24) and timeseries forecasting [\[45\]](#page-7-25). The role of the architectural layout of the hospital is also explored [\[46\]](#page-7-26). Some works use heterogeneous co-evolving networks to learn patient embeddings [\[9\]](#page-6-5), [\[10\]](#page-6-6), whereas MiME utilizes the multilevel structure of EHR data [\[6\]](#page-6-14). [\[47\]](#page-7-27) used CNN to represent abstract medical concepts whereas eNRBM uses restricted Boltzmann Machines [\[48\]](#page-7-28). [\[49\]](#page-7-29)–[\[51\]](#page-7-30) performs outcome-level patient risk prediction across healthcare facilities. Some prior works also leverage information from medical codes [\[7\]](#page-6-15)–[\[9\]](#page-6-5).

Large Language Models in Healthcare Analytics: The superiority of the performance of Large Language Models across a wide variety of tasks has led to their development and integration in the domain of healthcare. [\[30\]](#page-7-10) developed GatorTron, a large clinical language model, to improve the processing and interpretation of EHRs by being trained on a massive dataset of over 90 billion words, including deidentified clinical notes from UF Health, PubMed articles, and Wikipedia. [\[52\]](#page-7-31) investigated the potential of four large language models (LLMs) – ChatGPT, Galactica, Perplexity, and BioMedLM – to assist with personalized treatment decisions in oncology. [\[53\]](#page-7-32) introduces a novel prompt composed of class-specific words to guide contrastive learning, enhancing token representations and serving as effective metric referents for distance-based inference on test instances. [\[54\]](#page-7-33) propose GAMedX, an innovative wrapping approach using open-source LLMs to address these challenges. GAMedX aims to provide a unified structure format for a named entity recognition (NER) system, focusing on extracting multiple interconnected concepts from medical transcripts. The methodology involves loading and preprocessing data from two datasets: medical transcripts and the Vaccine Adverse Event Reporting System (VAERS). The process utilizes prompt crafting with a Pydantic Schema, in-context learning with few-shot examples, and leverages two specific open-source LLMs: Mistral 7B and Gemma 7B. [\[55\]](#page-7-34) introduces LLaVA-Med, a novel method for creating a biomedical visual instruction-following model using a data-centric paradigm. [\[56\]](#page-7-35), on the other hand, develops an LLM designed specifically for medical consultation. It leverages a combination of data distilled from ChatGPT and real-world data from doctors during its supervised fine-tuning stage.

V. CONCLUSION

Our study demonstrates the benefit of using LLM-generated summaries of clinical notes over two downstream tasks: ICU bounceback and length-of-stay prediction. We found that the inherent knowledge captured by LLMs during training allows them to provide additional information about medical complications based on the text of clinical notes. We also compared the performance of two fine-tuned LLMs for the two tasks and found that fine-tuning does not always translate to improved performance. This is a promising initial result, as it provides evidence of using LLMs to encode medical texts to leverage additional information for improved risk estimation. While we only focussed on the LLAMA3 family of LLMs, the general prompt engineering techniques are general and could be extended to other types of LLMs. A potential future direction of our work is to integrate LLM-generated summaries in multimodal frameworks.

VI. ACKNOWLEDGEMENTS

This project is partially funded by the CDC MInD Healthcare Network grant U01CK000594 and the associated COVID-19 supplemental funding. The authors acknowledge feedback from other University of Iowa CompEpi group members.

REFERENCES

- [1] G. De Vries, J. Bertrand, and J. M. Vissers, "Design requirements for health care production control systems," *Production planning & control*, vol. 10, no. 6, pp. 559–569, 1999.
- [2] E. R. Dubberke, K. A. Reske, M. A. Olsen, K. M. McMullen, J. L. Mayfield, L. C. McDonald, and V. J. Fraser, "Evaluation of Clostridium difficile–Associated Disease Pressure as a Risk Factor for C difficile–Associated Disease," *Archives of Internal Medicine*, vol. 167, no. 10, pp. 1092–1097, 05 2007. [Online]. Available: <https://doi.org/10.1001/archinte.167.10.1092>
- [3] J. Wiens, J. Guttag, and E. Horvitz, "Patient risk stratification with timevarying parameters: a multitask learning approach," *Journal of Machine Learning Research*, vol. 17, no. 79, pp. 1–23, 2016.
- [4] N. Liu, Z. Lin, Z. Koh, G.-B. Huang, W. Ser, and M. E. H. Ong, "Patient outcome prediction with heart rate variability and vital signs," *Journal of Signal Processing Systems*, vol. 64, pp. 265–278, 2011.
- [5] M. Ye, S. Cui, Y. Wang, J. Luo, C. Xiao, and F. Ma, "Medretriever: Target-driven interpretable health risk prediction via retrieving unstructured medical text," in *Proceedings of the 30th ACM International Conference on Information & Knowledge Management*, 2021, pp. 2414– 2423.
- [6] E. Choi, C. Xiao, W. F. Stewart, and J. Sun, "Mime: Multilevel medical embedding of electronic health records for predictive healthcare," 2018.
- [7] J. Gao, C. Xiao, Y. Wang, W. Tang, L. M. Glass, and J. Sun, "Stagenet: Stage-aware neural networks for health risk prediction," in *Proceedings of The Web Conference 2020*, 2020, pp. 530–540.
- [8] J. Luo, M. Ye, C. Xiao, and F. Ma, "Hitanet: Hierarchical time-aware attention networks for risk prediction on electronic health records," in *Proceedings of the 26th ACM SIGKDD International Conference on Knowledge Discovery & Data Mining*, 2020, pp. 647–656.
- [9] H. Jang, S. Lee, D. H. Hasan, P. M. Polgreen, S. V. Pemmaraju, and B. Adhikari, "Dynamic healthcare embeddings for improving patient care," in *2022 IEEE/ACM International Conference on Advances in Social Networks Analysis and Mining (ASONAM)*. IEEE, 2022, pp. 52–59.
- [10] A. Choudhuri, H. Jang, A. M. Segre, P. M. Polgreen, K. Jha, and B. Adhikari, "Continually-adaptive representation learning framework for time-sensitive healthcare applications," in *Proceedings of the 32nd ACM International Conference on Information and Knowledge Management*, 2023, pp. 4538–4544.
- [11] S. V. Poucke, Z. Zhang, M. Schmitz, M. Vukicevic, M. V. Laenen, L. A. Celi, and C. D. Deyne, "Scalable predictive analysis in critically ill patients using a visual open data analysis platform," *PloS one*, vol. 11, no. 1, p. e0145791, 2016.
- [12] Y. Gu, R. Tinn, H. Cheng, M. Lucas, N. Usuyama, X. Liu, T. Naumann, J. Gao, and H. Poon, "Domain-specific language model pretraining for biomedical natural language processing," *ACM Transactions on Computing for Healthcare (HEALTH)*, vol. 3, no. 1, pp. 1–23, 2021.
- [13] Y. Jin, M. Chandra, G. Verma, Y. Hu, M. De Choudhury, and S. Kumar, "Better to ask in english: Cross-lingual evaluation of large language models for healthcare queries," in *Proceedings of the ACM on Web Conference 2024*, 2024, pp. 2627–2638.
- [14] D. M. Bean, H. Wu, E. Iqbal, O. Dzahini, Z. M. Ibrahim, M. Broadbent, R. Stewart, and R. J. Dobson, "Knowledge graph prediction of unknown adverse drug reactions and validation in electronic health records," *Scientific reports*, vol. 7, no. 1, p. 16416, 2017.
- [15] Y. Zou, A. Pesaranghader, Z. Song, A. Verma, D. L. Buckeridge, and Y. Li, "Modeling electronic health record data using an end-to-end knowledge-graph-informed topic model," *Scientific Reports*, vol. 12, no. 1, p. 17868, 2022.
- [16] K. Jha, G. Xun, Y. Wang, V. Gopalakrishnan, and A. Zhang, "Conceptsbridges: Uncovering conceptual bridges based on biomedical concept evolution," in *Proceedings of the 24th ACM SIGKDD International Conference on Knowledge Discovery & Data Mining*, 2018, pp. 1599– 1607.
- [17] Y. Meng, J. Huang, Y. Zhang, and J. Han, "Generating training data with language models: Towards zero-shot language understanding," *Advances in Neural Information Processing Systems*, vol. 35, pp. 462–477, 2022.
- [18] Y. Meng, M. Michalski, J. Huang, Y. Zhang, T. Abdelzaher, and J. Han, "Tuning language models as training data generators for augmentationenhanced few-shot learning," in *International Conference on Machine Learning*. PMLR, 2023, pp. 24 457–24 477.
- [19] B. Meskó and E. J. Topol, "The imperative for regulatory oversight of large language models (or generative ai) in healthcare," *NPJ digital medicine*, vol. 6, no. 1, p. 120, 2023.
- [20] R. Xu, W. Shi, Y. Yu, Y. Zhuang, B. Jin, M. D. Wang, J. C. Ho, and C. Yang, "Ram-ehr: Retrieval augmentation meets clinical predictions on electronic health records," *arXiv preprint arXiv:2403.00815*, 2024.
- [21] R. Xu, W. Shi, Y. Yu, Y. Zhuang, Y. Zhu, M. D. Wang, J. C. Ho, C. Zhang, and C. Yang, "Bmretriever: Tuning large language models as better biomedical text retrievers," *arXiv preprint arXiv:2404.18443*, 2024.
- [22] J. King, V. Patel, E. W. Jamoom, and M. F. Furukawa, "Clinical benefits of electronic health record use: national findings," *Health services research*, vol. 49, no. 1pt2, pp. 392–404, 2014.
- [23] S. Keyhani, P. L. Hebert, J. S. Ross, A. Federman, C. W. Zhu, and A. L. Siu, "Electronic health record components and the quality of care," *Medical care*, pp. 1267–1272, 2008.
- [24] P. Saha, R. Sircar, and A. Bose, "Using hospital admission, discharge & transfer (adt) data for predicting readmissions," *Machine Learning with Applications*, vol. 5, p. 100055, 2021.
- [25] Z. Ebnehoseini, M. Tara, M. Meraji, K. Deldar, F. Khoshronezhad, and S. Khoshronezhad, "Usability evaluation of an admission, discharge, and transfer information system: a heuristic evaluation," *Open access Macedonian journal of medical sciences*, vol. 6, no. 11, p. 1941, 2018.
- [26] A. J. Thirunavukarasu, S. Mahmood, A. Malem, W. P. Foster, R. Sanghera, R. Hassan, S. Zhou, S. W. Wong, Y. L. Wong, Y. J. Chong *et al.*, "Large language models approach expert-level clinical knowledge and reasoning in ophthalmology: A head-to-head cross-sectional study," *PLOS digital health*, vol. 3, no. 4, p. e0000341, 2024.
- [27] M. M. Lucas, J. Yang, J. K. Pomeroy, and C. C. Yang, "Reasoning with large language models for medical question answering," *Journal of the American Medical Informatics Association*, vol. 31, no. 9, pp. 1964–1975, 2024.
- [28] H. Wu, P. Boulenger, A. Faure, B. Céspedes, F. Boukil, N. Morel, Z. Chen, and A. Bosselut, "Epfl-make at "discharge me!": An llm system for automatically generating discharge summaries of clinical electronic health record," in *Proceedings of the 23rd Workshop on Biomedical Natural Language Processing*, 2024, pp. 696–711.
- [29] H. Cui, X. Fang, R. Xu, X. Kan, J. C. Ho, and C. Yang, "Multimodal fusion of ehr in structures and semantics: Integrating clinical records and notes with hypergraph and llm," *arXiv preprint arXiv:2403.08818*, 2024.
- [30] X. Yang, A. Chen, N. PourNejatian, H. C. Shin, K. E. Smith, C. Parisien, C. Compas, C. Martin, A. B. Costa, M. G. Flores *et al.*, "A large language model for electronic health records," *NPJ digital medicine*, vol. 5, no. 1, p. 194, 2022.
- [31] FlowGPT, "Chatgpt prompt generator," 2024. [Online]. Available: <https://flowgpt.com/p/chatgpt-prompt-generator-pro-v2>
- [32] P. Hager, F. Jungmann, R. Holland, K. Bhagat, I. Hubrecht, M. Knauer, J. Vielhauer, M. Makowski, R. Braren, G. Kaissis *et al.*, "Evaluation and mitigation of the limitations of large language models in clinical decision-making," *Nature medicine*, pp. 1–10, 2024.
- [33] Y. Li, R. M. Wehbe, F. S. Ahmad, H. Wang, and Y. Luo, "Clinicallongformer and clinical-bigbird: Transformers for long clinical sequences," *arXiv preprint arXiv:2201.11838*, 2022.
- [34] K. Cho, "Learning phrase representations using rnn encoder-decoder for statistical machine translation," *arXiv preprint arXiv:1406.1078*, 2014.
- [35] A. E. Johnson, T. J. Pollard, L. Shen, L.-w. H. Lehman, M. Feng, M. Ghassemi, B. Moody, P. Szolovits, L. Anthony Celi, and R. G. Mark, "Mimic-iii, a freely accessible critical care database," *Scientific data*, vol. 3, no. 1, pp. 1–9, 2016.
- [36] J. Cyr and G. Haley, "Use of demographic and clinical characteristics in predicting length of psychiatric hospital stay: a final evaluation." *Journal of Consulting and Clinical Psychology*, vol. 51, no. 4, p. 637, 1983.
- [37] C. Xian, C. P. de Souza, and F. F. Rodrigues, "Health outcome predictive modelling in intensive care units," *Operations Research for Health Care*, vol. 39, p. 100409, 2023.
- [38] A. E. Johnson, L. Bulgarelli, L. Shen, A. Gayles, A. Shammout, S. Horng, T. J. Pollard, S. Hao, B. Moody, B. Gow *et al.*, "Mimiciv, a freely accessible electronic health record dataset," *Scientific data*, vol. 10, no. 1, p. 1, 2023.
- [39] AI@Meta, "Llama 3 model card," 2024. [Online]. Available: [https://github.com/meta-llama/llama3/blob/main/MODEL](https://github.com/meta-llama/llama3/blob/main/MODEL_CARD.md) CARD.md
- [40] JohnSnowLabs, "Medllama model card," 2024. [Online]. Available: <https://huggingface.co/johnsnowlabs/JSL-MedLlama-3-8B-v2.0>
- [41] H. Kim, H. Hwang, J. Lee, S. Park, D. Kim, T. Lee, C. Yoon, J. Sohn, D. Choi, and J. Kang, "Small language models learn enhanced reasoning skills from medical textbooks," *arXiv preprint arXiv:2404.00376*, 2024.
- [42] M. Neumann, D. King, I. Beltagy, and W. Ammar, "ScispaCy: Fast and Robust Models for Biomedical Natural Language Processing," in *Proceedings of the 18th BioNLP Workshop and Shared Task*. Florence, Italy: Association for Computational Linguistics, Aug. 2019, pp. 319– 327. [Online]. Available:<https://www.aclweb.org/anthology/W19-5034>
- [43] B. Adhikari, B. Lewis, A. Vullikanti, J. M. Jiménez, and B. A. Prakash, "Fast and near-optimal monitoring for healthcare acquired infection outbreaks," *PLoS CompBio*, 2019.
- [44] H. Jang, S. Pai, B. Adhikari, and S. V. Pemmaraju, "Risk-aware temporal cascade reconstruction to detect asymptomatic cases: For the cdc mind healthcare network," in *2021 IEEE International Conference on Data Mining (ICDM)*. IEEE, 2021, pp. 240–249.
- [45] E. Sherman, H. Gurm, U. Balis, S. Owens, and J. Wiens, "Leveraging clinical time-series data for prediction: a cautionary tale," in *AMIA*, 2017.
- [46] H. Jang, S. Justice, P. M. Polgreen, A. M. Segre, D. K. Sewell, and S. V. Pemmaraju, "Evaluating architectural changes to alter pathogen dynamics in a dialysis unit," in *IEEE/ACM ASONAM*, 2019.
- [47] Z. Zhu, C. Yin, B. Qian, Y. Cheng, J. Wei, and F. Wang, "Measuring patient similarities via a deep architecture with medical concept embedding," in *IEEE ICDM*, 2016.
- [48] T. Tran, T. D. Nguyen, D. Phung, and S. Venkatesh, "Learning vector representation of medical objects via EMR-driven nonnegative restricted Boltzmann machines (eNRBM)," *J Biomed Inform*, 2015.
- [49] J. C. Ho, L. R. Staimez, K. V. Narayan, L. Ohno-Machado, R. L. Simpson, and V. S. Hertzberg, "Evaluation of available risk scores to predict multiple cardiovascular complications for patients with type 2 diabetes mellitus using electronic health records," *Computer methods and programs in biomedicine update*, vol. 3, p. 100087, 2023.
- [50] R. Xu, M. K. Ali, J. C. Ho, and C. Yang, "Hypergraph transformers for ehr-based clinical predictions," *AMIA Summits on Translational Science Proceedings*, vol. 2023, p. 582, 2023.
- [51] J. Yi and J. Park, "Hypergraph convolutional recurrent neural network," in *Proceedings of the 26th ACM SIGKDD international conference on knowledge discovery & data mining*, 2020, pp. 3366–3376.
- [52] M. Benary, X. D. Wang, M. Schmidt, D. Soll, G. Hilfenhaus, M. Nassir, C. Sigler, M. Knödler, U. Keller, D. Beule et al., "Leveraging large language models for decision support in personalized oncology," *JAMA Network Open*, vol. 6, no. 11, pp. e2 343 689–e2 343 689, 2023.
- [53] Y. Huang, K. He, Y. Wang, X. Zhang, T. Gong, R. Mao, and C. Li, "Copner: Contrastive learning with prompt guiding for few-shot named entity recognition," in *Proceedings of the 29th International conference on computational linguistics*, 2022, pp. 2515–2527.
- [54] M.-K. Ghali, A. Farrag, H. Sakai, H. E. Baz, Y. Jin, and S. Lam, "Gamedx: Generative ai-based medical entity data extractor using large language models," *arXiv preprint arXiv:2405.20585*, 2024.
- [55] C. Li, C. Wong, S. Zhang, N. Usuyama, H. Liu, J. Yang, T. Naumann, H. Poon, and J. Gao, "Llava-med: Training a large language-and-vision assistant for biomedicine in one day," *Advances in Neural Information Processing Systems*, vol. 36, 2024.
- [56] H. Zhang, J. Chen, F. Jiang, F. Yu, Z. Chen, J. Li, G. Chen, X. Wu, Z. Zhang, Q. Xiao *et al.*, "Huatuogpt, towards taming language model to be a doctor," *arXiv preprint arXiv:2305.15075*, 2023.