Continually-Adaptive Representation Learning Framework for Time-Sensitive Healthcare Applications

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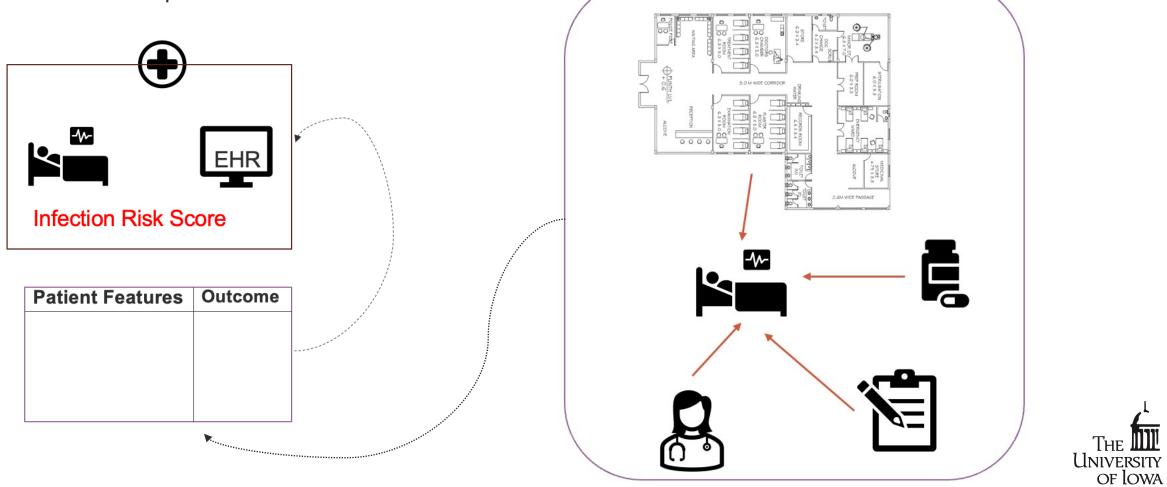






#### **Motivation 1: Learning representations of Patients**

Motivations and Principle



#### **Motivation 2: Incremental Incorporation of New Information**

Motivations and Principle



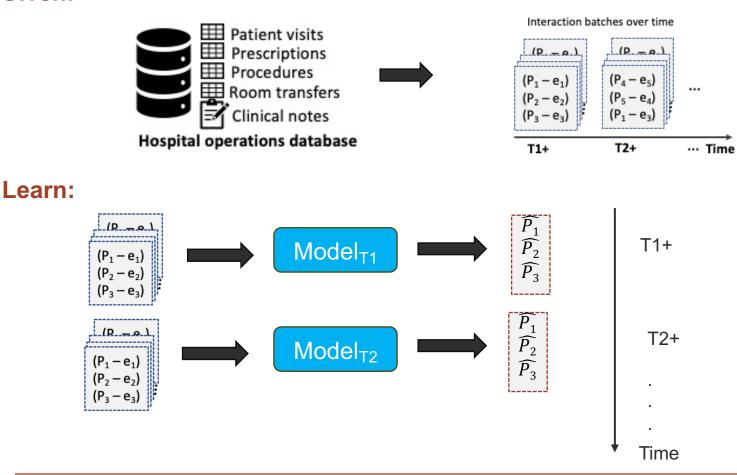
#### **Faster Training!**



### **Problem Formulation**

Model and Components

Given:



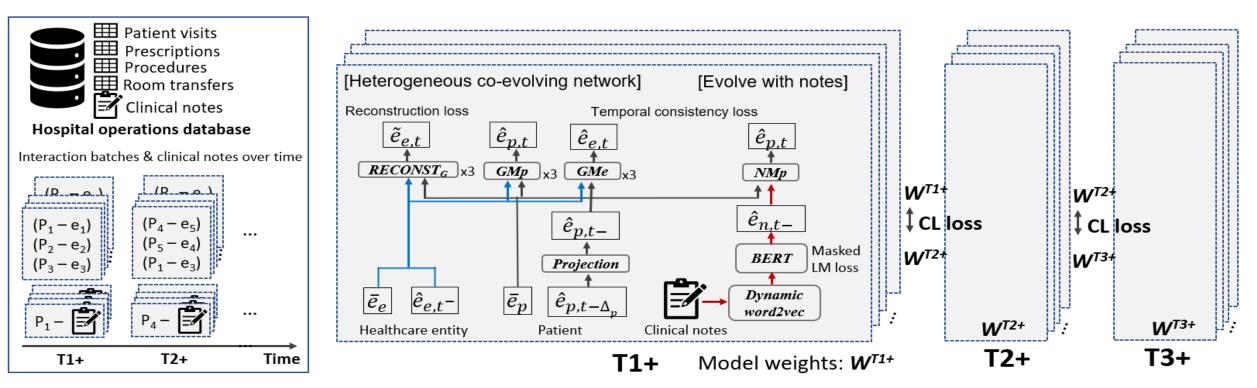
#### **Such That:**

- Dynamic patient embeddings encodes information to aid predictions
- The model parameters across periods doesn't drastically change



## **Model Architecture**

Model and Components



General purpose, unsupervised and continually learning embedding method for dynamic heterogenous interactions

- Preserves information on the interaction via interaction type specific autoencoder
- Continually infuses knowledge across periods to prevent catastrophic forgetting



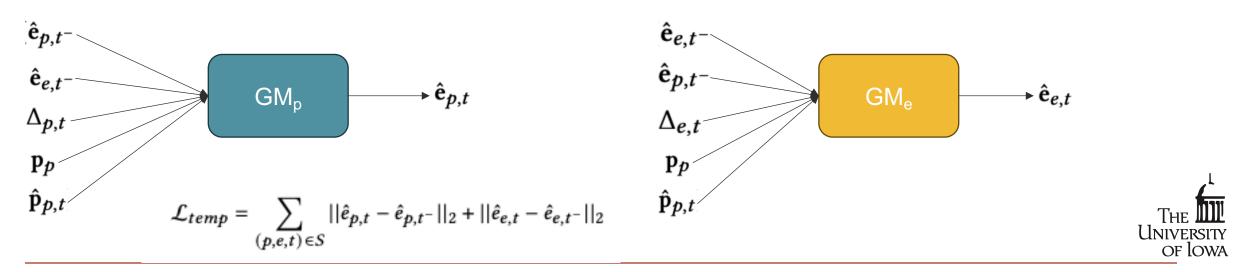
### **Dynamic Embedding Update**

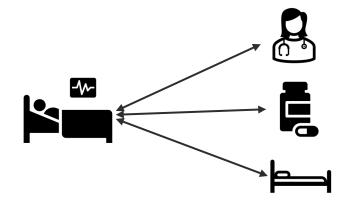
Model and Components

• Projection of Patient embedding (from time  $t - \Delta$  to t<sup>-</sup>) <sup>[1]</sup>:



- Update dynamic embeddings of patient and the entity at t via co-evolving neural networks:





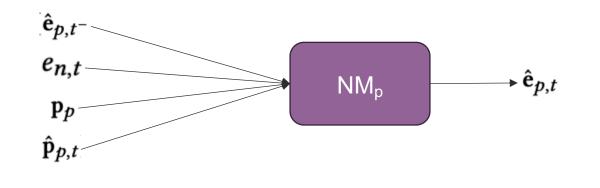
### **Evolution with Clinical Notes**

Model and Components

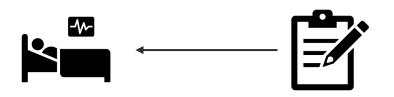
- Obtaining Clinical Note Embeddings
  - For each clinical note in a period, obtain learned word embeddings using DynamicWord2Vec<sup>[1]</sup>
  - Use learned word vector embeddings to pre-train BERT<sup>[2]</sup> on Masked Language Loss:

$$\mathcal{L}_{LM} = -\frac{1}{N} \sum_{i=1}^{N} [y_i \log(p_i) + (1 - y_i) \log(1 - p_i)]$$

Update Dynamic Patient embeddings:







### **Reconstruction and Continual Knowledge Infusion**

Model and Components

 We reconstruct the original entity dynamic and static embeddings via a reconstruction autoencoder:
iê<sub>p,t</sub>-



- For each period, we prevent 'catastrophic forgetting' across periods by:
  - Initializing model parameters for a new period with the learned model parameters from the previous period
  - Minimizing the Continual Learning loss:

$$\begin{array}{c|c} \mathsf{Model}_{\mathsf{T1}} & \approx & \mathsf{Model}_{\mathsf{T2}} & \approx & \mathsf{Model}_{\mathsf{T3}} & \dots \\ \\ \mathcal{L}_{CL} = \lambda ||\theta_i - \theta_{i-1}||_2 \end{array}$$





- Hospital Operations Data was obtained from University of Iowa Hospitals and Clinics (UIHC) data on:
  - Electronic Health Records
  - Admission- Discharge-Transfer (ADT) logs
- Hospital Operations was divided into 3 periods:

Period	Start Date	End Date	No. of D,M,R Interactions	No. of N Interactions
Period 1	5/4/2008	6/25/2008	245,043	149,685
Period 2	6/13/2008	8/7/2008	252,089	152,037
Period 3	7/10/2008	8/31/2008	257,994	163,158

• **Assumption:** No new entities are added across the periods



#### **CDI Incidence Prediction**

Results

- Clostridioides difficile infection (CDI) is one of a common HAI, increases mortality risk of patients with weakened immune system
- Binary Classification Problem:
  - Instance: Patient at time t and features at that time
  - Label: Binary indicator of getting infection in next 3 days<sup>[1]</sup>
- Evaluation Metric: ROC-AUC Score
- 3- fold cross validation with 30 repetitions

Period	Method	LR	SVM	RF
Period 1	DOMAIN	$0.49 \pm 0.20$	$0.52 \pm 0.07$	$0.34 \pm 0.07$
	JODIE	$0.44 \pm 0.12$	$0.36 \pm 0.09$	$0.52\pm0.03$
	DECENT	$0.62 \pm 0.07$	$0.57 \pm 0.01$	$0.61 \pm 0.06$
	Ours	$\textbf{0.65} \pm \textbf{0.05}$	$\textbf{0.60} \pm \textbf{0.04}$	$\textbf{0.73} \pm \textbf{0.07}$
Period 2	DOMAIN	$0.60 \pm 0.11$	$0.54 \pm 0.13$	$0.76 \pm 0.19$
	JODIE	$0.50 \pm 0.05$	$0.47 \pm 0.06$	$0.52\pm0.18$
	DECENT	$0.71 \pm 0.02$	$0.59 \pm 0.16$	$0.77 \pm 0.04$
	Ours	$\textbf{0.74} \pm \textbf{0.08}$	$\textbf{0.62} \pm \textbf{0.06}$	$\textbf{0.78} \pm \textbf{0.19}$
Period 3	DOMAIN	$0.67 \pm 0.19$	$0.56 \pm 0.09$	$0.71 \pm 0.18$
	JODIE	$0.61 \pm 0.08$	$0.55 \pm 0.14$	$0.59 \pm 0.03$
	DECENT	$0.68 \pm 0.12$	$0.63 \pm 0.04$	$0.71 \pm 0.19$
	Ours	$\textbf{0.69} \pm \textbf{0.14}$	$0.66 \pm 0.07$	$\textbf{0.72} \pm \textbf{0.23}$



#### **MICU Transfer Prediction**

Results

- Forecast whether a patient is at risk of transfer to a Medical Intensive Care Unit (MICU)
- Binary Classification Problem:
  - Instance: Patient at time t and features at that time
  - Label: Binary indicator of MICU transfer in the next day
- Evaluation Metric: ROC-AUC Score
- 3- fold cross validation with 30 repetitions

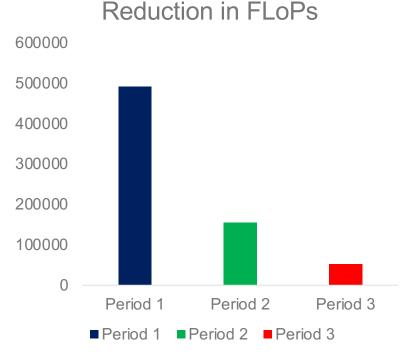
Period	Method	LR	SVM	RF
Period 1	DOMAIN	$0.63 \pm 0.20$	$0.52 \pm 0.03$	0.86 ± 0.13
	JODIE	$0.54\pm0.15$	$0.51 \pm 0.02$	$0.66 \pm 0.04$
	DECENT	$0.85 \pm 0.07$	$0.71 \pm 0.05$	$0.83 \pm 0.05$
	Ours	$\textbf{0.89} \pm \textbf{0.05}$	$\boldsymbol{0.77 \pm 0.08}$	$\textbf{0.87} \pm \textbf{0.03}$
Period 2	DOMAIN	$0.68 \pm 0.12$	$0.57 \pm 0.13$	$0.71 \pm 0.07$
	JODIE	$0.59 \pm 0.05$	$0.52 \pm 0.10$	$0.55 \pm 0.01$
	DECENT	$0.72\pm0.07$	$0.65 \pm 0.10$	$0.86 \pm 0.03$
	Ours	$\textbf{0.76} \pm \textbf{0.02}$	$\boldsymbol{0.72 \pm 0.03}$	$\textbf{0.89} \pm \textbf{0.09}$
Period 3	DOMAIN	$0.67\pm0.13$	$0.56 \pm 0.02$	$0.81 \pm 0.03$
	JODIE	$0.61\pm0.08$	$0.52 \pm 0.18$	$0.62 \pm 0.12$
	DECENT	$\textbf{0.85} \pm \textbf{0.07}$	$0.67 \pm 0.01$	$\textbf{0.87} \pm \textbf{0.18}$
	Ours	$0.84\pm0.12$	$0.71 \pm 0.01$	$\textbf{0.87} \pm \textbf{0.08}$

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# **Empirical Verification of Continual Adaptation**

Results

- The model training is very resource intensive
- We used the continual learning formulation to reduce training time without costing too much on the quality of embeddings
- Our model will require more operations to train for the first period. But it will require much less operations to train on the data for the subsequent periods
- We validate this intuition by profiling the proxy of FLoPs (MACs) required to train the model to construct dynamic embeddings across periods
- Note that the number of FLoPs required to pre-train our BERT model is excluded from our analysis



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#### Conclusion

- The learned patient embeddings incorporate both the interactions and the clinical notes
- We use continual learning to reduce the time for training incoming heterogenous and dynamic batches of interactions and notes
- We evaluate the performance of the learned embeddings over the predictive tasks:
  - CDI Incidence Prediction
  - MICU Transfer Prediction
- Our proposed model outperforms state-of-the-art baselines across both the tasks
- Our continual learning formulation leads to faster training of model parameters in subsequent batches









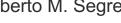
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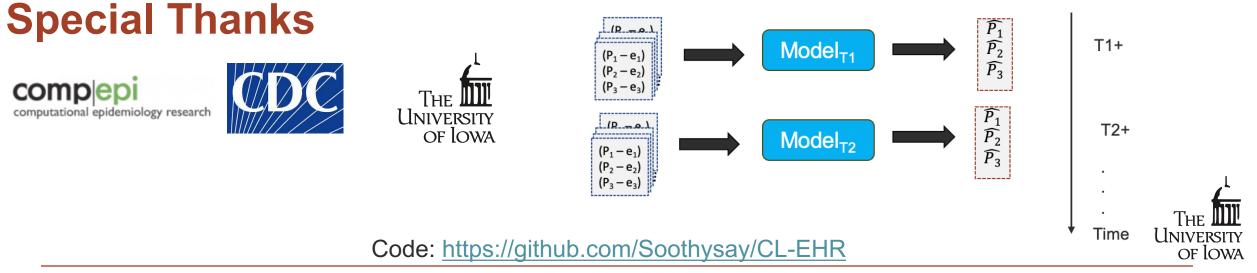
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